

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Costa
First Name: Erminio
Title / Rank: Director
College: Psychiatry
Dept. / Unit: Psychiatric Institute
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

E. Costa

Date 9-23-2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☒ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

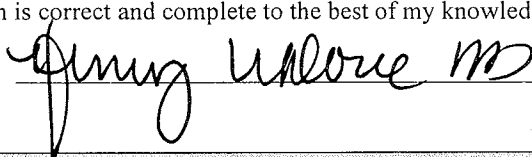
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
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B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/27/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

Administrative Review and Approval, UIC RNUA 2008-2009

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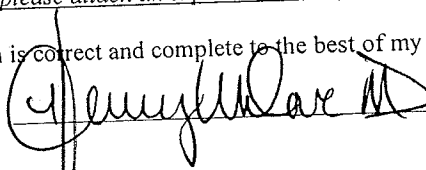
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Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Dove
First Name: HENRY
Title / Rank: Professor of Clin.
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

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2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Henry W. Dove MD

Date 10/27/08

Please submit to your unit head for administrative review and approval.

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

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- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
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B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

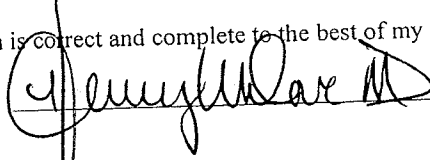
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- ☒ No prospective activities are reported or all prospective activities are approved.
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The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: ZHANG

First Name: HUAIBO

Title / Rank: Research Assistant professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment ____%

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
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PART II. Listing of Non-University Income Producing Activities

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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature [Signature]

Date 10/2/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

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Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

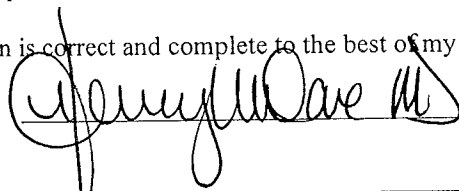


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/24/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Wollwage
First Name: Seth
Title / Rank: Faculty/Staff
College: MEDICINE
Dept. / Unit: Behavioral Sciences
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
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PART III. Affirmation

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Academic Staff Member's Signature



Date 10/22/08

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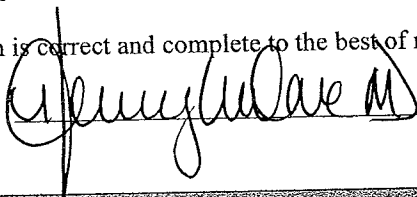
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Date

10/1/08

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Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Winer
First Name: Jerome
Title / Rank: Emeritus Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 31%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

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Academic Staff Member's Signature

Jerome A. Winer MD

Date

9-22-08

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- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

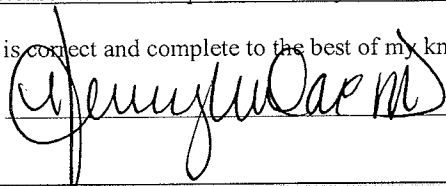
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Whitfield
First Name: Harvey J.
Title / Rank: Associate Professor
of Psychiatry
College: Medicine
Dept. / Unit: Psychiatry
Appointment 52%
University Contract Period¹ Indefinite
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

N/A

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Harvey J. Whitfield

Date

09/25/2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

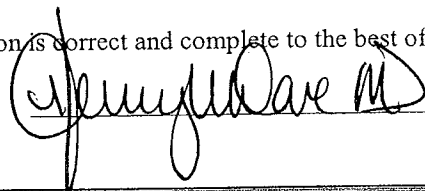


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/2/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: West
First Name: Amy
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period
none	none	none	none	none

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Amy E. West

Date 10/7/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

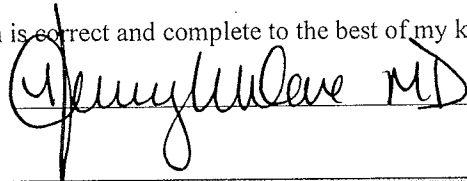


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: WALTON

First Name: Laura

Title / Rank: Coordinator

College: Medicine

Dept. / Unit: Psychiatry/ISR

Appointment 100 %

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Laura Walton Date 9-22-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

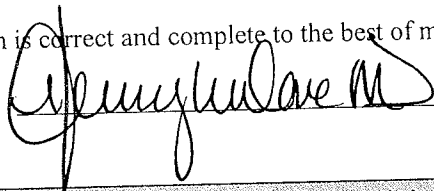


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff

2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Wakschlag

First Name: Lauren

Title / Rank: Associate Professor/Psychiatry

College: Medicine

Dept. / Unit: Psychiatry/IJR

Appointment 100%

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

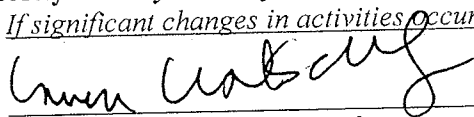
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

9-22-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

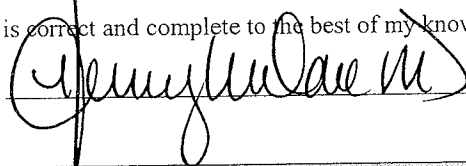
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Tueting
First Name: Patricia
Title / Rank: Research Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 51 %

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Patricia Tueting

Date 9/23/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

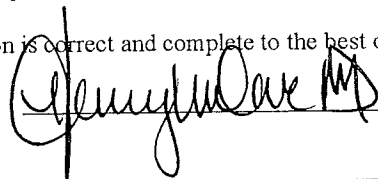


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Thompson-Berry
First Name: Cynthia
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 70 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Cynthia Thompson-Berry

Date 9/22/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Skowronski
First Name: Francesca
Title / Rank: Visiting Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Francesca Skowronski Date 9/30/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☒ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

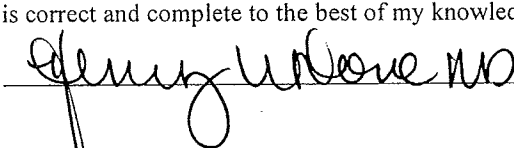
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/27/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Eiger
First Name: Rodney
Title / Rank: Asst. Professor, Clinical Psychiatry
College: Medicine
Dept. / Unit: Psychiatry
Appointment 25%
University Contract Period¹
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family have a managerial role or a significant² financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? *I'm full-time at the Pessia Brown VAMC* ☒ yes* ☐ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest³ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

R. Eiger

Date

10/23/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 1% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.



Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

[Signature]

Date

10/27/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Ghadiali
First Name: Nafisa
Title / Rank: Assistant Professor of
College: Clinical Psychiatry
Dept. / Unit: Psychiatry
Appointment 20 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

[Signature]

Date

9/22/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500:50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

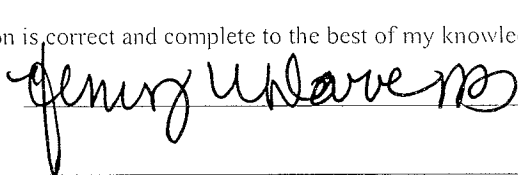
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

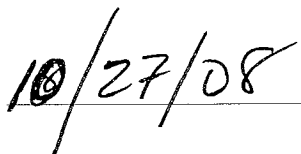
- ☐ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date



PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Guidotti
First Name: Alessandro
Title / Rank: Scientific Director
College: Psychiatry
Dept. / Unit: Psychiatric Institute
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date 9/23/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.



Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

Henry W. Dore

Date

10/27/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Logan
First Name: Stephen
Title / Rank: Research Assistant Professor
College: Medical College
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Stephen M Logan

Date Oct. 23rd, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

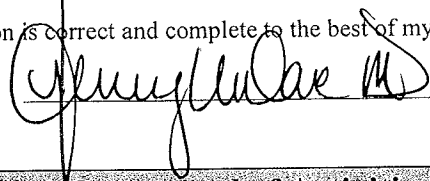


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/24/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Manev
First Name: Radmila
Title / Rank: Associate Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Radmila D. Manev

Date

9/24/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

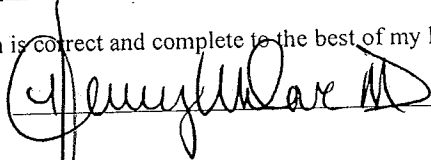


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Mason
First Name: Sally
Title / Rank: Associate Clinical Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature [Signature] Date 9/22/08
Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

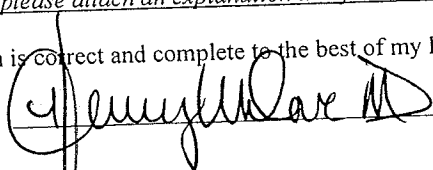
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Massie
First Name: Elise
Title / Rank: Assistant Professor
College: Medical Center
Dept. / Unit: Psychiatry
Appointment 60%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Elise Massie PhD

Date

9/30/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

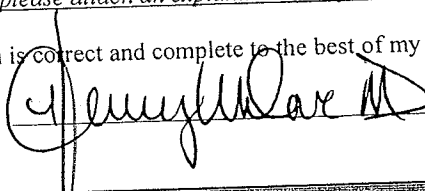
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Miller
First Name: Laura
Title / Rank: Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 85%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Laura Miller

Date

9/19/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

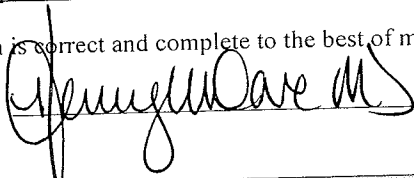
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Nand

First Name: Surinder

Title / Rank: Professor of Clinical Psychiatry

College: Medicine

Dept. / Unit: Psychiatry

Appointment 12 %

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Surinder Nand

Date

Oct 1, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

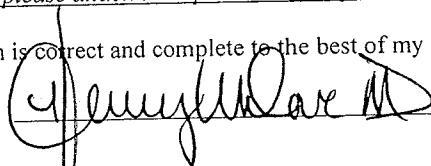


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Nyenhuis
First Name: David
Title / Rank: Assoc. Professor
College: Medicine
Dept. / Unit: Neurology / Psychiatry
Appointment 100 %
University Contract Period¹
☒ 9 months / ☐ 10 months / ☐ 12 months / ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

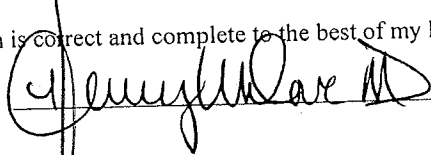
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Owley
First Name: Thomas
Title / Rank: MD
College: Medicine
Dept. / Unit: Psychiatry
Appointment: 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

[Signature]

Date

9-22-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

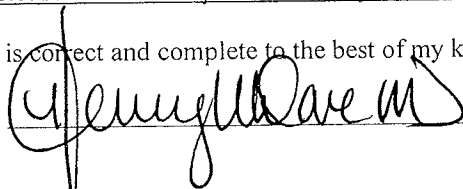


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: P A N D E Y
First Name: Ghanshyam
Title / Rank: Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

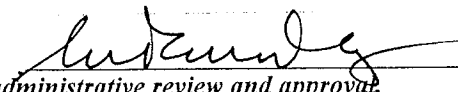
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date 9/24/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

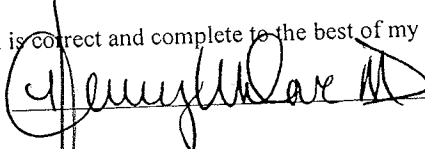
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: passarotti

First Name: alessandra

Title / Rank: assistant professor

College: medicine

Dept. / Unit: psychiatry

Appointment 100%

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no

2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no

3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no

4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Alessandra Passarotti

Date 10/03/2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

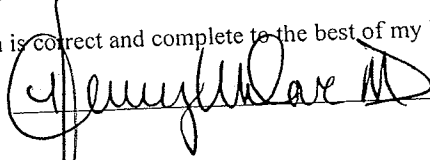
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:

PAVKOVIC

First Name:

IVAN

Title / Rank:

ASSOCIATE CLIN. PROF.

College:

MEDICINE

Dept. / Unit:

PSYCHIATRY

Appointment 20 %

University Contract Period¹
☐ 9 months/ ☐ 10 months/ ☒ 12 months/ ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

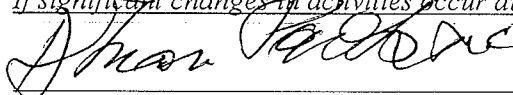
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date

10.23.08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

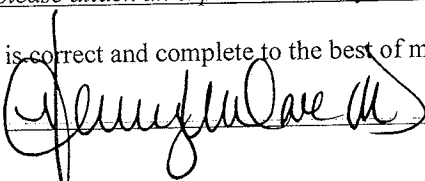


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/24/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Pavuluri
First Name: Mani
Title / Rank: Associate Professor
College: UIC
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

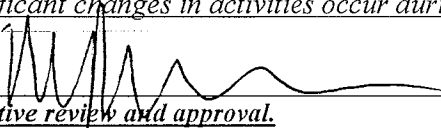
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period
X	X	X	X	X

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date

Oct 1-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on *Conflicts of Commitment and Interest* is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

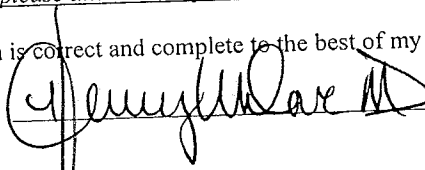
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Pinna
First Name: Graziano
Title / Rank: Res. Asst Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period
NA				

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date

Sep 30, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

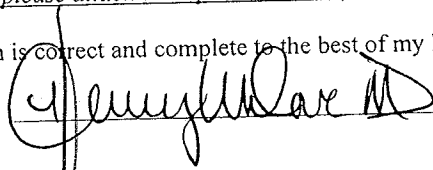


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
**2008-2009 Report of
Non-University Activities (RNAU)**

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: PIREC
First Name: VESNA
Title / Rank: ASSIST. PROFESSOR
College: MEDICINE
Dept. / Unit: PSYCHIATRY
Appointment 51 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Vesna Pirec

Date

9-20-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

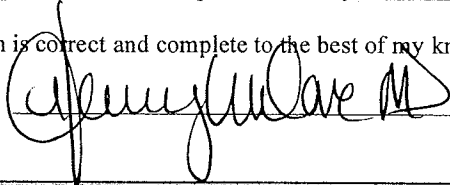


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature

(If approval needed)

Date

Additional Reviews

(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Pournajafi-Nazarloo

First Name: Hossein

Title / Rank: Research Assistant Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no

2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no

3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no

4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

H. Pournajafi-Nazarloo

Date 09.30.08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

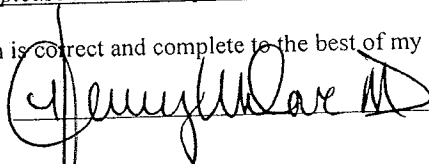


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Prensky
First Name: Eric
Title / Rank: Asst Prof of Clinical Psychology
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Eric Prensky, PhD

Date

9/19/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

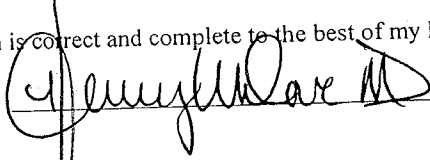
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Qu
First Name: Tingyu
Title / Rank: Research Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

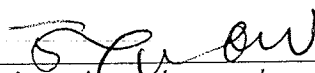
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

09/29/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

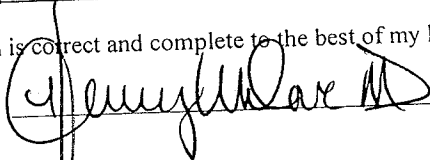
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
**2008-2009 Report of
Non-University Activities (RNAU)**

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Reilly
First Name: James L
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

James L Reilly

Date 9/23/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

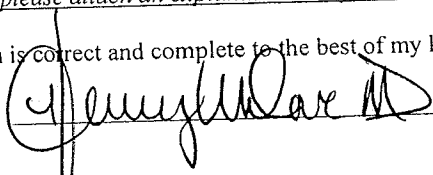
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: RICHMAN
First Name: JUDITH
Title / Rank: Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100 %
University Contract Period¹
☐ 9 months/ ☐ 10 months/ ☒ 12 months/ ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Judith Richman, Ph.D.

Date

Sept 23, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

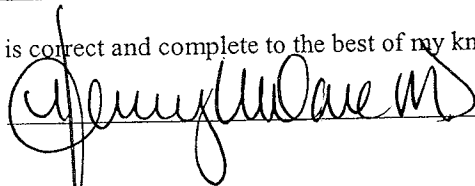


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Rospenda
First Name: Kathleen
Title / Rank: Associate Professor of Psychology
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Kathleen Rospenda

Date

9/19/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

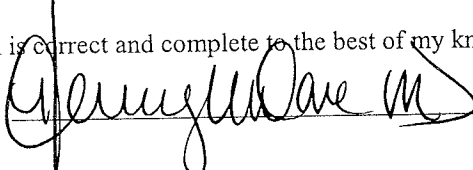
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
**2008-2009 Report of
Non-University Activities (RNAU)**

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Rosen
First Name: Cherise
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Cherise Rosen Date 22 September 08
Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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University of Illinois at Chicago
Academic Staff

2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Salt

First Name: Jeff

Title / Rank: Asst Prf

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
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* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

J Salt

Date

10/1/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

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³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

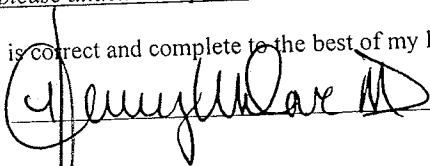
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: sawa
First Name: marcia
Title / Rank: asst professor
College: medicine
Dept. / Unit: Dept of Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

[Signature]

Date

10/3/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

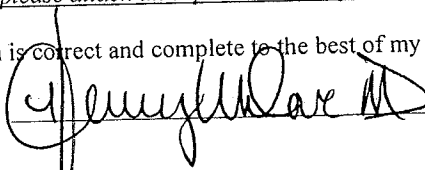


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Schmid
First Name: Sabine
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
n/a				

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Sabine Schmid

Date

9/19/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

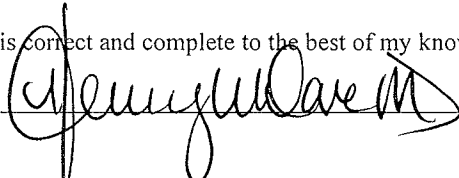


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/15/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Schrift
First Name: Michael
Title / Rank: _____
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature [Signature]

Date 9/14/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

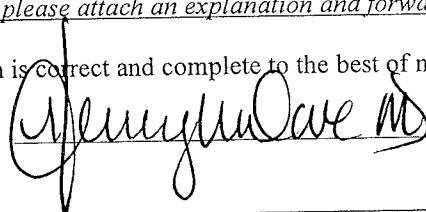


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Scott
First Name: Nelda
Title / Rank: Assistant Prof Clinic Psychiatry
College: Medicine
Dept. / Unit: Psychiatry
Appointment 68 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Nelda Scott

Date

9/22/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.apaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

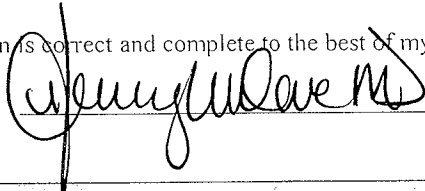
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Sharma
First Name: Rajiv
Title / Rank: Professor
College: College of Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary


Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date 09/30/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

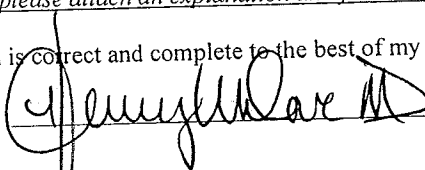
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Connolly
First Name: Sucheta
Title / Rank: Associate Professor of Clinical Psychiatry
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Sucheta Connolly MD

Date

9/30/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

☒

No conflict of interest or commitment exists.

☐

A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

☐

A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

☐

Agree

☐

Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

☒

No retrospective activities are reported or all retrospective activities are approved.

☐

Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

☒

No prospective activities are reported or all prospective activities are approved.

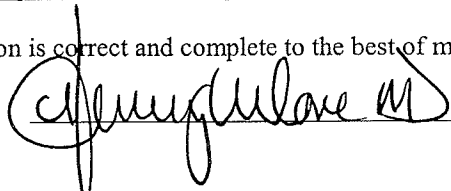
☐

Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Danis
First Name: Barbara
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry IJR
Appointment 80 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

B. A. O.

Date 9.22.08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

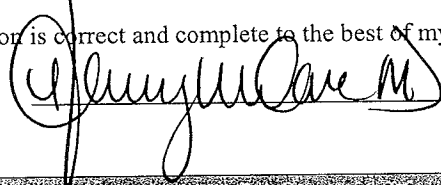


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Davidson
First Name: Christine
Title / Rank: Assoc Prof Clinical Psychology
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Christine V. Davidson Date 9/29/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

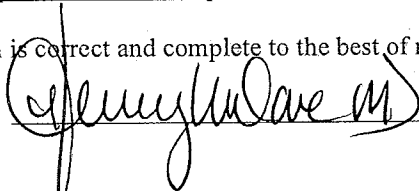
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Davidson

First Name: Ronald

Title / Rank: Asst Prof Clinical Psychology

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

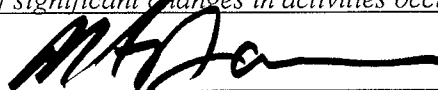
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

7/29/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

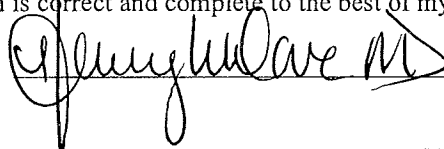
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: DESAI
First Name: PRAKASH
Title / Rank: Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

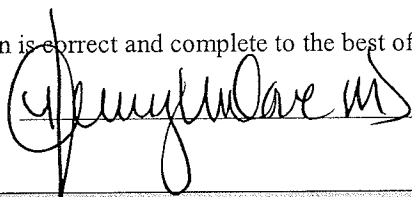
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: De Leon

First Name: Ovidio

Title / Rank: Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Ovidio De Leon

Date

September 23 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

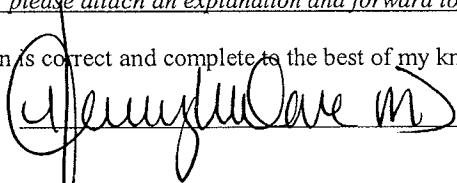
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
**2008-2009 Report of
Non-University Activities (RNA)**

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Dong

First Name: Erbo

Title / Rank: _____

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period
N/A				

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature _____

Date

Sept 30, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

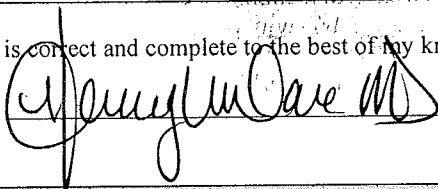
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: DWIVEDI

First Name: YOGESH

Title / Rank: ASSOCIATE PROFESSOR

College: MEDICINE

Dept. / Unit: PSYCHIATRY

Appointment 100 %

University Contract Period¹
☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Yogesh Dwivedi

Date

09/22/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

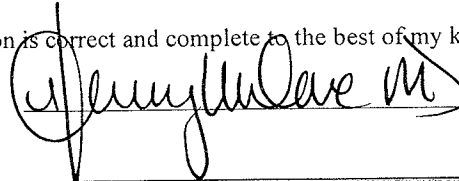


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Farmer
First Name: Alvin
Title / Rank: PhD
College: Medicine
Dept. / Unit: Psychiatry
Appointment 50%
University Contract Period¹
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Alvin Farmer

Date 10/3/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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2008 - 2009

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

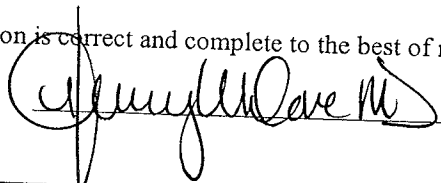
B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
**2008-2009 Report of
Non-University Activities (RNAU)**

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Fox
First Name: Geri
Title / Rank: Professor
College: COM
Dept. / Unit: Psychiatry/GME
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

9/23/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

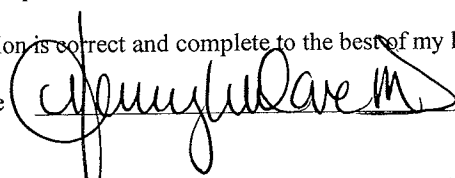
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Gonzalez
First Name: Raul
Title / Rank: Asst. Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date 9/22/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

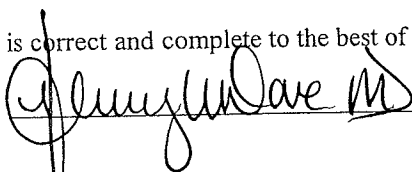


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Gorski
First Name: Jennifer
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 25%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date

10/3/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Hanbomer-Coste
First Name: Ingeborg
Title / Rank: Visiting Associate Professor
College: _____
Dept. / Unit: Psychiatry
Appointment 50 %
University Contract Period¹
☐ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
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- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Ingeborg Hanbomer-Coste Date 09-22-2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

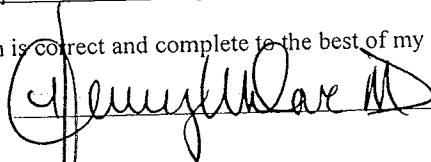
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: HARROW
First Name: MARTIN
Title / Rank: PROFESSOR
College: MEDICINE
Dept. / Unit: PSYCHIATRY
Appointment 100 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
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- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in <input type="checkbox"/> no Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Martin Harrow

Date

9-23-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

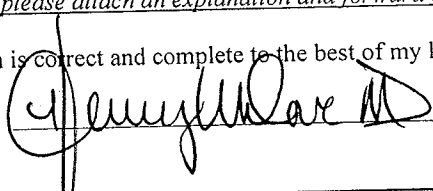


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

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B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

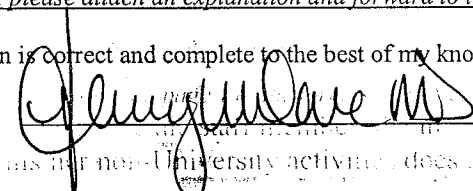
- ☒ No retrospective activities are reported or all retrospective activities are approved.
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If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

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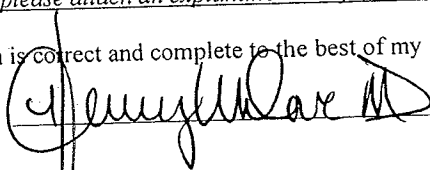
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The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Herbener
First Name: Ellen
Title / Rank: Assistant Professor
College: Medicine and LAS
Dept. / Unit: Psychiatry and Psychology
Appointment 100%
University Contract Period¹
☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
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PART II. Listing of Non-University Income Producing Activities

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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

9/22/08

Please submit to your unit head for administrative review and approval.

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

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- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

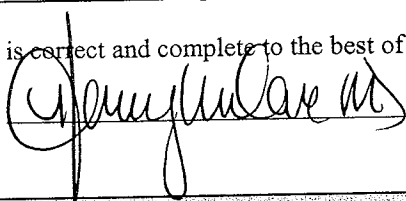
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/11/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Hill
First Name: Carri
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry/IJR
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Carri Hill

Date

9-22-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

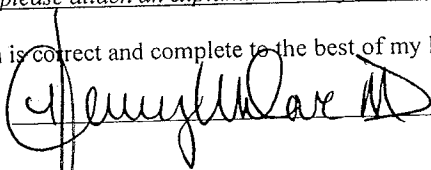
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: HILL
First Name: SCOT
Title / Rank: RESEARCH ASST PROF
College: MEDICINE
Dept. / Unit: PSYCHIATRY
Appointment 100 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Scott Hill Date OCT 01, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

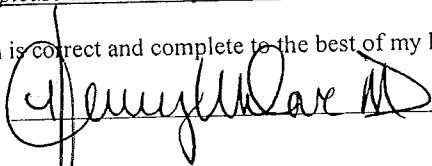


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: HOFFMAN
First Name: IRWIN
Title / Rank: Lecturer
College: Medicine
Dept. / Unit: Psychiatry
Appointment 20 %
University Contract Period¹
☐ 9 months/ ☐ 10 months/ ☒ 12 months/ ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Irwin Hoffman, Ph.D. Date 10-23-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

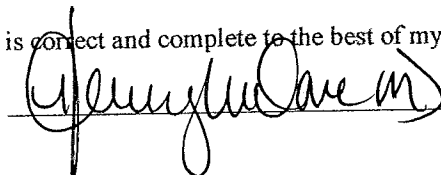
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/29/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Hur

First Name: Kwan

Title / Rank: Adj. Assistnat Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 50%

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature



Date 10/22/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

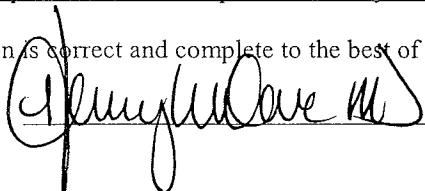
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/23/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Jacob

First Name: Suma

Title / Rank: Asst. Prof.

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

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PART II. Listing of Non-University Income Producing Activities

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* Do not include amounts of compensation.

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Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Date

9/22/08

Please submit to your unit head for administrative review and approval.

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³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
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If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

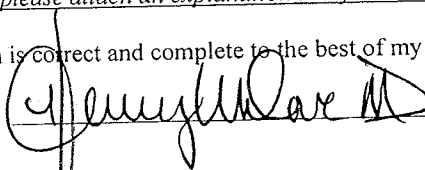
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name:

Kaplan

First Name:

Kallman J.

Title / Rank:

Professor

College:

Medicinal

Dept. / Unit:

Psychiatry

Appointment 65%

University Contract Period¹
☐ 9 months/ ☐ 10 months/ ☒ 12 months/ ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Kallman J. Kaplan

Date

Nov. 5, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on *Conflicts of Commitment and Interest* is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

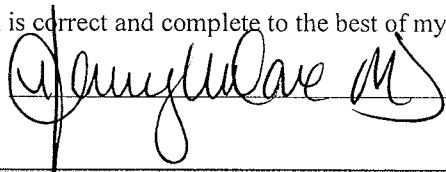
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

11/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Keedy
First Name: Sarah
Title / Rank: Research Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature 

Date 9-25-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

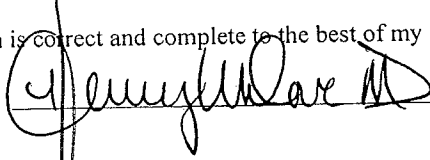
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: KIRKUS
First Name: MARILYN
Title / Rank: MD. Assoc. Prof.
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature [Signature] Date 9/19/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

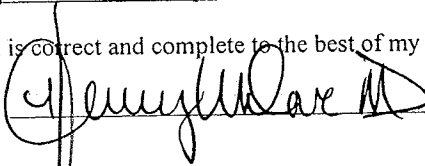
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Kwon

First Name: Eugene

Title / Rank: Asst. Prof.

College: Medicine

Dept. / Unit: Psychiatry

Appointment 60 %

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Date 10/3/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

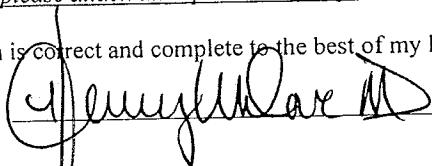


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Larson

First Name: John

Title / Rank: Associate Professor

College: Medicine

Dept. / Unit: PSYCHIATRY

Appointment 100 %

University Contract Period¹

☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period
none.				

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature 

Date 10/11/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

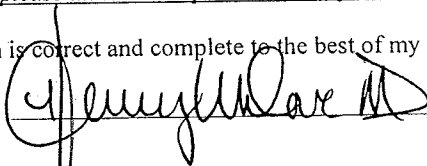
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Loftin

First Name: Rachel

Title / Rank: Assistant Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

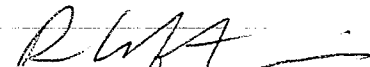
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

9/26/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on *Conflicts of Commitment and Interest* is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

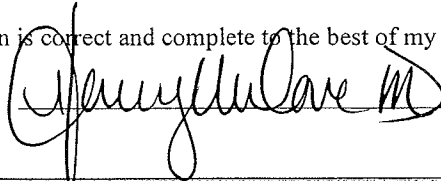


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Heilman
First Name: Keri
Title / Rank: Visiting Research Asst Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Keri Hil

Date 1/22/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Cook
First Name: Edwin
Title / Rank: Professor
College: Medicine
Dept. / Unit: Psychiatry/IJR
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Edwin Cook

Date

9/24/2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

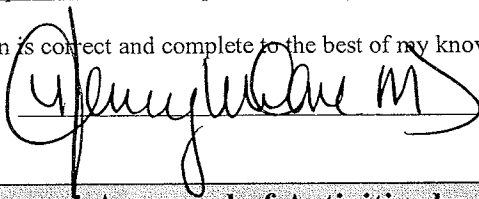
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☒ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

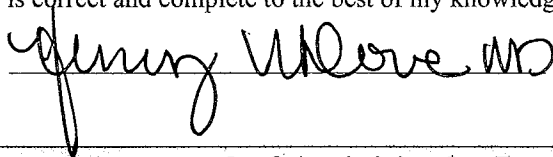
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/27/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

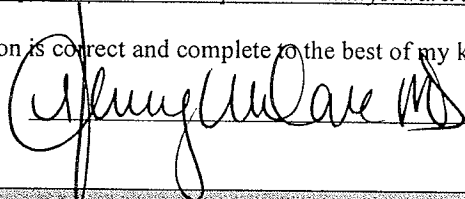


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

11/5/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Charney

First Name: Elizabeth

Title / Rank: MD, Assistant Clinical Professor

College: UIC

Dept. / Unit: Psychiatry

Appointment 80 %

University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 <u>Prospective</u> Days to be Spent in Current Reporting Period
	Assistant Clinical Professor			

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Elizabeth Charney

Date

10/1/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: DAVIS
First Name: John M
Title / Rank: Professor
College: Medical
Dept. / Unit: Psychiatry
Appointment 100 %
University Contract Period¹
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☐ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☐ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☐ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☐ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

John M Davis

Date

08/1/2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☐ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

- ☐ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☐ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature _____ Date _____

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed) _____

Date _____

Additional Reviews
(Signatures) _____

Date _____

Date _____

Print Form

Clear Form

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: ANDERSON
First Name: TANYA R.
Title / Rank: ASSOCIATE PROFESSOR
College: MEDICINE
Dept. / Unit: PSYCHIATRY
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
 2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
 3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
 4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no
- *Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

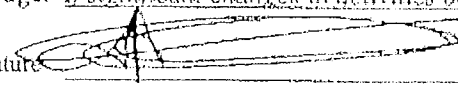
- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated

Academic Staff Member's Signature 

Date

9/30/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.spsa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

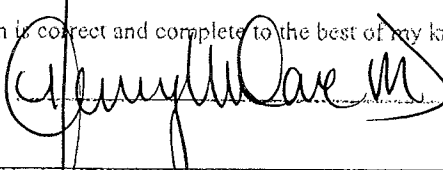


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

9/30/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Astrachan-Fletcher

First Name: Ellen

Title / Rank: Assistant Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 65 %

University Contract Period¹

☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

***Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.**

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature Ellen Astrachan-Fletcher Date 9/23/08
Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Compiled Statutes 500/50-13 prohibits the award of University contracts to companies in which University employees who earn more than 10% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$111,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

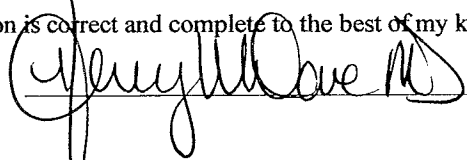
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

9/23/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Baptiste
First Name: Donna
Title / Rank: Associate Professor
College: Medicine
Dept. / Unit: Psychiatry IJR
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

- * Do not include amounts of compensation.
* Do not report "various" when reporting retrospective activity.
* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

DR Baptiste

Date

10-6-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

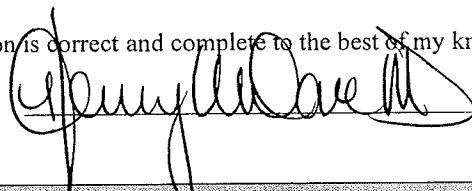
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/6/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: BASLET
First Name: GASTON
Title / Rank: ASSIST. PROF. OF CLINICAL PSYCH.
College: MEDICINE
Dept. / Unit: PSYCHIATRY
Appointment 100 %
University Contract Period¹
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

G. G. Baslet MD Date 9/25/08
Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

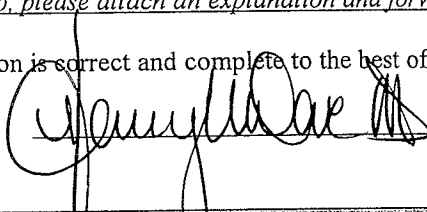


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

Saundra Brady
Psychiatry HR
PI MIC 912 Rm 547

Print Form

Clear Form

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

**DISCLOSURE AND
REQUEST FOR PRIOR
APPROVAL**

Last Name: Bell MD
First Name: Carl
Title / Rank: Clinical Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 20%
University Contract Period¹
☐ 9 months / ☐ 10 months / ☒ 12 months / ☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary.

Nature of your activities (see instructions for examples)	For whom (e.g., company/organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

Carl C Bell MD Date 9-22-08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500 50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

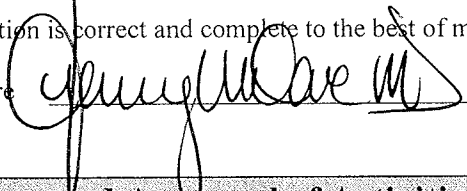


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: BHAUMIK

First Name: DULAL

Title / Rank: PROFESSOR

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹
☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
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- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 <u>Retrospective</u> Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 <u>Prospective</u> Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature

D. Bhauumik

Date

Sep 23, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

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PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

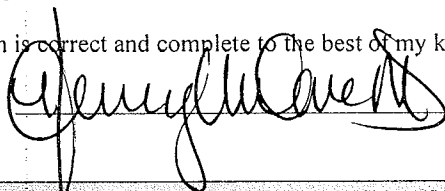
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

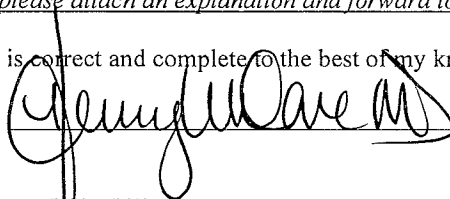
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date 10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNAU)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Burke

First Name: Erin

Title / Rank: MD

College: _____

Dept. / Unit: Psychiatry

Appointment 25 %

University Contract Period¹

☐ 9 months/ ☐ 10 months/ ☐ 12 months/ ☒ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

**Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.*

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

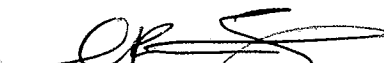
Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature



Date

10.2.2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:

- ☒ No conflict of interest or commitment exists.
- ☐ A conflict of interest or commitment may exist, but is being monitored by the department.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)
- ☐ A conflict of interest or commitment may exist that warrants further review.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.

- ☐ Agree
- ☐ Disagree
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)

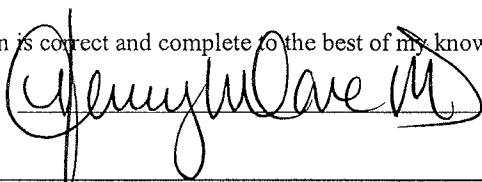
- ☒ No retrospective activities are reported or all retrospective activities are approved.
- ☐ Some or all retrospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)

- ☒ No prospective activities are reported or all prospective activities are approved.
- ☐ Some or all declared prospective activities are not approved.
If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNUA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Randall
First Name: Carter
Title / Rank: Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 100%
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
- * Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.
- * Do not include amounts of compensation.
- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug. 16 - Aug. 15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug. 16 - Aug. 15 Prospective Days to be Spent in Current Reporting Period

☒ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's Policy on Conflicts of Commitment and Interest⁴ and the above information is true to the best of my knowledge. *If significant changes in activities occur during the year, this form must be updated.*

Academic Staff Member's Signature

Randall Carter

Date

9/30/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

⁴ The University Policy on Conflicts of Commitment and Interest is available at: http://www.vpaa.uillinois.edu/policies/conflict_toc.asp

Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.

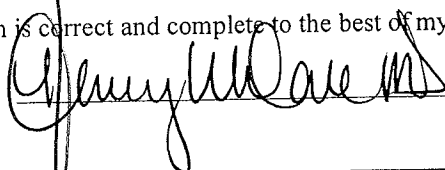


Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature



Date

10/1/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date

Additional Reviews
(Signatures)

Date

Date

University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Carter Porges

First Name: Carol Sue

Title / Rank: Professor

College: Medicine

Dept. / Unit: Psychiatry

Appointment 100%

University Contract Period¹

☒ 9 months/☐ 10 months/☐ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

* If your appointment is less than 75% time, you do not need to complete this section.

* Report total number of days, where an accumulation of eight hours equals one day, regardless of time of day or day of week.

* Do not include amounts of compensation.

* Do not report "various" when reporting retrospective activity.

* Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☒ **I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT**

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature Carol Sue Carter Porges Date Sept 21, 2008

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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University of Illinois at Chicago
Academic Staff
2008-2009 Report of
Non-University Activities (RNA)

DISCLOSURE AND REQUEST FOR PRIOR APPROVAL

Last Name: Brune
First Name: Camille
Title / Rank: Vst Research Assistant Professor
College: Medicine
Dept. / Unit: Psychiatry
Appointment 50 %
University Contract Period¹
☐ 9 months/☐ 10 months/☒ 12 months/☐ Summer

PART I. Conflict of Interest Screening

Please attach an explanatory statement for all "yes" responses.

1. Do you have a consulting or other financial relationship with a sponsor of your research? ☐ yes* ☒ no
2. Do you or does any member of your family² have a managerial role or a significant³ financial relationship with a company that does business with the University or with a company in a field of your research? ☐ yes* ☒ no
3. Do you have non-University professional activities or income producing activities involving University of Illinois students, or other faculty or staff? ☐ yes* ☒ no
4. Do you or does any member of your immediate family have any other relationships, commitments, or activities that might present or appear to present a conflict of interest or commitment with your University of Illinois appointment? Such relationships may include financial or fiduciary interests or uncompensated activities. Report these whether or not you believe the conflict is manageable. ☐ yes* ☒ no

*Please list and explain in an attached statement any "yes" responses to the questions above. Lists in Part II do NOT suffice as explanation.

PART II. Listing of Non-University Income Producing Activities

- * If your appointment is less than 75% time, you do not need to complete this section.
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- * Do not report "various" when reporting retrospective activity.
- * Attach additional sheets if necessary

Nature of your activities (see instructions for examples)	For whom (e.g., company/ organization)	Do you have an ownership interest in this company / organization? (If so, please explain in an attached statement.)	2007-2008 Aug.16 - Aug.15 Retrospective Days Spent During this Reporting Period	2008-2009 Aug.16 - Aug.15 Prospective Days to be Spent in Current Reporting Period

☐ I HAVE NO ACTIVITIES THAT I AM REQUIRED TO REPORT

PART III. Affirmation

I affirm that I have read the University's *Policy on Conflicts of Commitment and Interest*⁴ and the above information is true to the best of my knowledge. If significant changes in activities occur during the year, this form must be updated.

Academic Staff Member's Signature C. W. J.

Date

9/25/08

Please submit to your unit head for administrative review and approval.

¹ Check all that apply. The University contract period includes evenings, weekends and holidays during the term of employment.

² University Policy defines "Family" as one's spouse and children.

³ Federal research regulations define "significant" as financial interests exceeding \$10,000 or representing more than 5% ownership regardless of dollar value. The Illinois Procurement Code (5 Illinois Compiled Statutes 500/50-13) prohibits the award of University contracts to companies in which University employees who earn more than 60% of the Governor's salary have either (a) ownership interests in excess of 7 1/2% or (b) entitlements to annual income in amounts in excess of the salary of the Governor. (Governor's salary \$177,412 as of July 1, 2008.)

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Administrative Review and Approval, UIC RNUA 2008-2009

(Please complete the Conflict of Interest/Commitment Review AND Approval of Activities sections. See Instructions for Administrative Review and Approval regarding forwarding and retention of the Reports of Non-University Activities). Deans/Directors should forward forms they receive and review to the Office of the Vice Chancellor for Research (or appropriate Vice President for UA Staff).

PART IV. Conflict of Interest/Commitment Review (Please attach a copy of any referenced explanation.)

A. Based on the activity reported and to the best of my knowledge and in my judgment:



No conflict of interest or commitment exists.



A conflict of interest or commitment may exist, but is being monitored by the department.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)



A conflict of interest or commitment may exist that warrants further review.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Please complete if question 3 on page 1 of the form is answered affirmatively:

As described by the academic staff member, the involvement of University of Illinois students, faculty and/or staff in his/her non-University activities does not appear to be detrimental to those individuals.



Agree



Disagree

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

PART V. Approval of Activities (Please attach a copy of any referenced explanation.)

A. Retrospective Activities (2007-2008)



No retrospective activities are reported or all retrospective activities are approved.



Some or all retrospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

B. Prospective Activities (2008-2009)



No prospective activities are reported or all prospective activities are approved.



Some or all declared prospective activities are not approved.

If so, please attach an explanation and forward to the next administrative level (Dean, Director, or Vice President.)

The above information is correct and complete to the best of my knowledge.

Unit Head Signature

Jimmy Wallace MD

Date

10/27/08

PART VI. Review and Approval of Activities by Dean and Others as Required.

Dean/Director/VP Signature
(If approval needed)

Date _____

Additional Reviews
(Signatures)

Date _____

Date _____